



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Babej

Filing Date: June 20, 2002 Examiner: Katherine W. Mitchell

Serial No.: 10/030,410 Group Art Unit: 3677

Title: FUNCTIONAL ELEMENT, METHOD FOR FIXING IT IN A
SHEET METAL PART, ASSEMBLING ELEMENT AND
SWAGING ASSEMBLY

Atty Docket No.: 60,174-026

INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR 1.97 AND 1.98

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Dear Sir:

Pursuant to Rule 97 and in compliance with Rule 56, Applicants submit herewith the items listed on the attached Form PTO-SB08 and hereby requests that the Office consider them in its consideration of the above-referenced application and make them of record in the case. Copies of the listed items are enclosed. In accordance with 37 CFR § 97(e), Applicants hereby certify that each item contained herein was cited in a communication from a foreign Patent Office (PCT International Search Authority) in a counterpart foreign application not more than three months prior to the filing of this statement.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 CFR 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability or that no other material information exists. The filing of this information disclosure statement shall not be construed as an admission against interest in any manner.

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Applicants believe that no fee is due notwithstanding the fact that this document is being filed more than three (3) months after filing the application. However, should there be a fee associated with this document, please charge it to Deposit Account No. 08-2789. **A duplicate of this paper is enclosed.**

Respectfully submitted,

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Dated: June 15, 2004

CERTIFICATE OF EXPRESS MAILING

I hereby certify that the enclosed **Information Disclosure Statement** is being deposited with the United States Postal Service as Express Mail, postage prepaid, in an envelope as "Express Mail Post Office to Addressee," Mailing Label No. **EV548546607US** and addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 on **June 15, 2004**.



Tracy L. Smith



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PTO/SB/08A (08-03) (modified)
Approved for use through 07/31/2006 OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Complete if Known	
				Application Number	10/030,410
				Filing Date	June 20, 2002
				First Named Inventor	Babej
				Art Unit	3677
				Examiner Name	Katherine W. Mitchell
Sheet	1	of	1	Attorney Docket Number	60,174-026

Examiner Initials	Cite No. ¹	U.S. Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
		US-3,686,914	08-29-1972	Powsey	
		US-			
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Examiner Initials	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ₆
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				
		EP 0 028 019 A1	05-06-1981	Danino		

Examiner Signature	Date Considered
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard St. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 C.F.T. 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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